

Precision In Document Formats

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Abstract

At the fundamental level, a document is a means to convey information. The limitations on a digital document format therefore restrict the types and quality of information that can be communicated. Whilst modern document formats are now able to include increasingly complex dynamic content, they still suffer from early views of a document as a static page; to be viewed at a fixed scale and position. In this report, we focus on the limitations of modern document formats (including PDF, PostScript, SVG) with regards to the level of detail, or precision at which primitives can be drawn. We propose a research project to investigate whether it is possible to obtain an “infinite precision” document format, capable of including primitives created at an arbitrary level of zoom.

Keywords: document formats, precision, floating point, graphics, OpenGL, VHDL, PostScript, PDF, bootstraps

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1. Introduction

1.1 Motivation

Early electronic document formats such as PostScript were motivated by a need to print documents onto a paper medium. In the PostScript standard, this led to a model of the document as a program; a series of instructions to be executed by an interpreter which would result in “ink” being placed on “pages” of a fixed size[3]. The ubiquitous Portable Document Format (PDF) standard provides many enhancements to PostScript taking into account desktop publishing requirements[4], but it is still fundamentally based on the same imaging model[5]. This idea of a document as a static “page” has led to limited precision in these and other traditional document formats.

The emergence of the internet, web browsers, XML/HTML, JavaScript and related technologies has seen a revolution in the ways in which information can be presented digitally, and the PDF standard itself has begun to move beyond static text and figures[6, 7]. However, the popular document formats are still designed with the intention of showing information at either a single, fixed level of detail, or a small range of levels.

As most digital display devices are smaller than physical paper medium, all useful viewers are able to “zoom” to a subset of the document. Vector graphics formats including PostScript and PDF support rasterisation at different zoom levels[3, 5], but the use of fixed precision floating point numbers causes problems due to imprecision either far from the origin, or at a high level of detail[8, 9].

We are now seeing a widespread use of mobile computing devices with touch screens, where the display size is typically much smaller than paper pages and traditional computer monitors; it seems that there is much to be gained by breaking free of the restricted precision of traditional document formats.

1.2 Overview

The remainder of this document will be organised as follows: In Chapter 2 we give an overview of the current state of the research in document formats, and the motivation for implementing “infinite precision” in a document format. We will outline our approach to research in collaboration with David Gow[]. In Chapter 3 we provide more detailed background examining the literature related to rendering, interpreting, and creating document formats, as well as possible techniques for increased and possibly infinite precision. In Chapter ?? gives the current state of our research and the progress towards the goals outlined in Chapter 1. In Chapter 5 we will conclude with a summary of our findings and goals.

2. Proposal

2.1 Aim

In this project, we will explore the state of the art of current document formats including PDF, PostScript, SVG, HTML, and the limitations of each with regards to precision.

We will consider designs for a document format allowing graphics primitives at an arbitrary level of zoom with no loss of detail. A viewer and editor will be implemented as a proof of concept; we adopt a low level, ground up approach to designing this viewer so as to not become restricted by any single existing document format. Although it is possible to produce three dimensional graphics using some of the technologies we will explore, we will focus on two dimensional graphics.

There are many possible applications for documents in which precision is unlimited. Several areas of use include: visualisation of extremely large or infinite data sets; visualisation of high precision numerical computations; digital artwork; computer aided design; and maps.

2.1.1 Clarification of Terms

It may be necessary to clarify what we mean by the terms “arbitrary precision” and “document formats”. Regarding the latter, we consider a document format to be any representation of visual information which is capable of being stored indefinitely. Regarding the former, we do not propose to be able to contain an infinite amount of information within such a document. The goal is to be able to render a primitive at the same level of detail it is specified by a document format, regardless of how precise this level is. For example, the precision of coordinates of primitives drawn in a graphical document editor will always be limited by the resolution of the display on which they are drawn, but not by the viewer.

2.2 Methods

Initial research and software development is being conducted in collaboration with David Gow[2]. Once a simple testbed application has been developed, we will individually explore approaches for introducing arbitrary levels of precision; these approaches will be implemented as alternate versions of the same software. The focus will be on drawing simple primitives (lines, polygons, circles). However, if time permits we will explore adding more complicated primitives (font glyphs, bezier curves, embedded bitmaps). Hearn and Baker’s textbook “Computer Graphics” includes chapters providing a good overview of two dimensional graphics[10].

The process of rendering a document will be considered as a common area of research, whilst individual research will be conducted on means for allowing infinite precision. At this stage we have identified two possible areas for individual research:

1. **Arbitrary Precision real valued numbers** — Sam Moore

We plan to investigate the representation of real values to a high or arbitrary degree of precision. Such representations would allow for the coordinates of primitives to be relative to a single global coordinate system. We would expect a decrease in performance with increased

complexity of the data structure used to represent a real value. We will also consider the limitations imposed by performing calculations on the GPU or CPU.

Starting points for research in this area are Priest’s 1991 paper, “Algorithms for Arbitrary Precision Floating Point Arithmetic” [11], and Goldberg’s 1992 paper “The design of floating point data types” [9]. A more recent and comprehensive text book, “Handbook of Floating Point Arithmetic” [12], published in 2010, has also been identified as highly relevant.

2. Local coordinate systems — David Gow [2]

An alternative approach involves segmenting the document into different regions using fixed precision floats to define primitives within each region. A quadtree or similar data structure could be employed to identify and render those regions currently visible in the document viewer.

We aim to compare these and any additional implementations considered using the following metrics:

1. Performance vs Number of Primitives

As it is clearly desirable to include more objects in a document, this is a natural metric for the usefulness of an implementation. We will compare the performance of rendering different implementations, using several “standard” test documents.

2. Performance vs Visible Primitives

There will inevitably be an overhead to all primitives in the document, whether drawn or not. As the structure of the document format and rendering algorithms may be designed independently, we will repeat the above tests considering only the number of visible primitives.

3. Performance vs Zoom Level

We will also consider the performance of rendering at zoom levels that include primitives on both small and large scales, since these are the cases under which floating point precision causes problems in the PostScript and PDF standards.

4. Performance whilst translation and scaling

Whilst changing the view, it is ideal that the document be re-rendered as efficiently as possible, to avoid disorienting and confusing the user. We will therefore compare the speed of rendering as the standard documents are translated or scaled at a constant rate.

5. Artifacts and Limitations on Precision

As we are unlikely to achieve truly “infinite” precision, qualitative comparisons of the accuracy of rendering under different implementations should be made.

2.3 Software and Hardware Requirements

Our proof of concept will be developed for a conventional GNU/Linux desktop or laptop computer using the OpenGL 3.1 API for rendering. However, the techniques explored could be extended to other platforms and libraries.

3. Literature Review

The first half of this chapter will be devoted to documents themselves, including: the representation and displaying of graphics primitives[10], and how collections of these primitives are represented in document formats, focusing on widely used standards[3, 5, 13].

We will find that although there has been a great deal of research into the rendering, storing, editing, manipulation, and extension of document formats, modern standards are content to specify — at best — single precision IEEE-754 floating point arithmetic.

The research on arbitrary precision arithmetic applied to documents is rather sparse; however arbitrary precision arithmetic itself is a very active field of research. Therefore, the second half of this chapter will be devoted to considering fixed precision floating point numbers as specified by the IEEE-754 standard, possible limitations in precision, and alternative number representations for increased or arbitrary precision arithmetic.

In Chapter ??, we will discuss our findings so far with regards to arbitrary precision arithmetic applied to document formats, and expand upon the goals outlined in Chapture 2.

3.1 Raster and Vector Images

At a fundamental level everything that is seen on a display device is represented as either a vector or raster image. These images can be stored as stand alone documents or embedded within a more complex document format capable of containing many other types of information.

A raster image’s structure closely matches it’s representation as shown on modern display hardware; the image is represented as a grid of filled square “pixels”. Each pixel is considered to be a filled square of the same size and contains information describing its colour. This representation is simple and also well suited to storing images as produced by cameras and scanners. The drawback of raster images is that by their very nature there can only be one level of detail.

A vector image contains information about the positioning and shading of geometric shapes. To display this image on modern display hardware, coordinates are transformed according to the view and then the image is converted into a raster like representation. Whilst the raster image merely appears to contain edges, the vector image actually contains information about these edges, meaning they can be displayed “infinitely sharply” at any level of detail[?] — or they could be if the coordinates are stored with enough precision (see Section ??).

Figures 3.1 and 3.2 attempt to illustrate the advantage of vector formats by comparing raster and vector images in a similar way to Worth and Packard[14]. The right side of Figure 3.1 is a raster image which should be recognisable as an animal defined by fairly sharp edges. Figure 3.2 shows how these edges appear jagged when scaled. There is no information in the original image as to what should be displayed at a larger size, so each square shaped pixel is simply increased in size. A blurring effect will probably be visible in most PDF viewers; the software has attempted to make the “edge” appear more realistic using a technique called “antialiasing”.

The left sides of Figures 3.1 and 3.2 are a vector image. When scaled, the edges maintain a smooth appearance which is limited by the resolution of the display rather than the image itself. Vector images are well suited to high quality digital art¹ and text.

¹Figure 3.1 is not to be taken as an example of this.

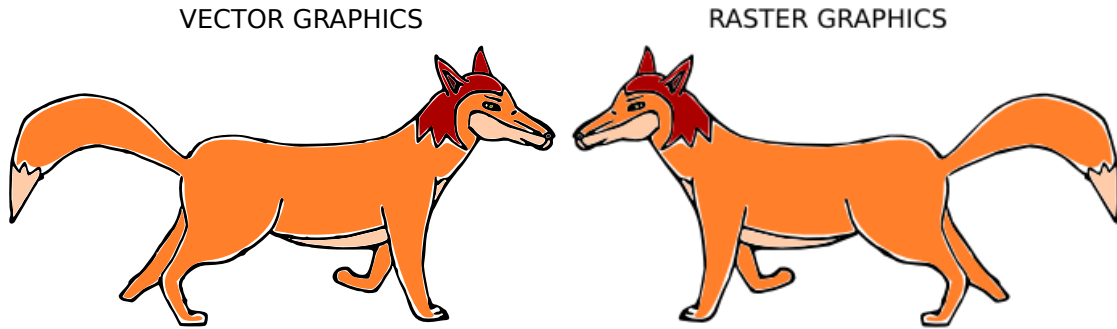


Figure 3.1: Original Vector and Raster Images

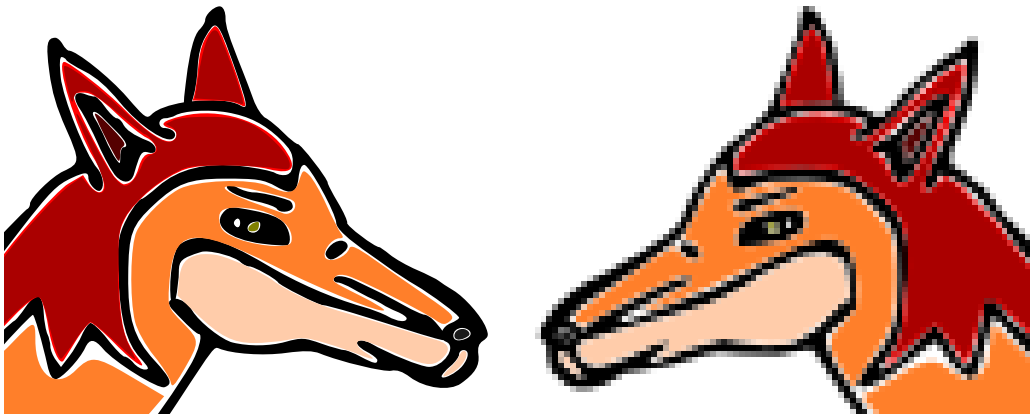


Figure 3.2: Scaled Vector and Raster Images

3.2 Rendering Vector Images

Hearn and Baker's textbook "Computer Graphics" [10] gives a comprehensive overview of graphics from physical display technologies through fundamental drawing algorithms to popular graphics APIs. This section will examine algorithms for drawing two dimensional geometric primitives on raster displays as discussed in "Computer Graphics" and the relevant literature. This section is by no means a comprehensive survey of the literature but intends to provide some idea of the computations which are required to render a document.

It is of some historical significance that vector display devices were popular during the 70s and 80s, and papers oriented towards drawing on these devices can be found [15]. Whilst curves can be drawn at high resolution on vector displays, a major disadvantage was shading; by the early 90s the vast majority of computer displays were raster based [10].

3.2.1 Straight Lines

It is well known that in cartesian coordinates, a line between points (x_1, y_1) and (x_2, y_2) , can be described by:

$$y(x) = mx + c \quad \text{on } x \in [x_1, x_2] \text{ for } m = \frac{(y_2 - y_1)}{(x_2 - x_1)} \text{ and } c = y_1 - mx_1 \quad (3.1)$$

On a raster display, only points (x, y) with integer coordinates can be displayed; however m will generally not be an integer. Thus a straight forward use of Equation 3.1 will require costly floating point operations and rounding (See Section??). Modifications based on computing steps Δx and Δy eliminate the multiplication but are still less than ideal in terms of performance[10].

It should be noted that algorithms for drawing lines can be based upon sampling $y(x)$ only if $|m| \leq 1$; if $|m| > 1$ then sampling at every integer for x would leave gaps in the line. However line drawing algorithms can be trivially adopted to sample $x(y)$ if $|m| > 1$.

Bresenham's Line Algorithm was developed in 1965 with the motivation of controlling a particular mechanical plotter in use at the time[16]. The plotter's motion was confined to move between discrete positions on a grid one cell at a time, horizontally, vertically or diagonally. As a result, the algorithm presented by Bresenham requires only integer addition and subtraction, and it is easily adopted for drawing pixels on a raster display. Bresenham himself points out that rasterisation processes have existed since long before the first computer displays[17].

In Figure 3.3 a) and b) we illustrate the rasterisation of a line width a single pixel width. The path followed by Bresenham's algorithm is shown. It can be seen that the pixels which are more than half filled by the line are set by the algorithm. This causes a jagged effect called aliasing which is particularly noticeable on low resolution displays. From a signal processing point of view this can be understood as due to the sampling of a continuous signal on a discrete grid[18].

Figure 3.3 c) shows an (idealised) antialiased rendering of the line. The pixel intensity has been set to the average of the line and background colours over that pixel. Such an ideal implementation would be impractically computationally expensive on real devices[19]. In 1991 Wu introduced an algorithm for drawing anti-aliased lines which, while equivalent in results to existing algorithms by Fujimoto and Iwata, set the state of the art in performance[18]². .

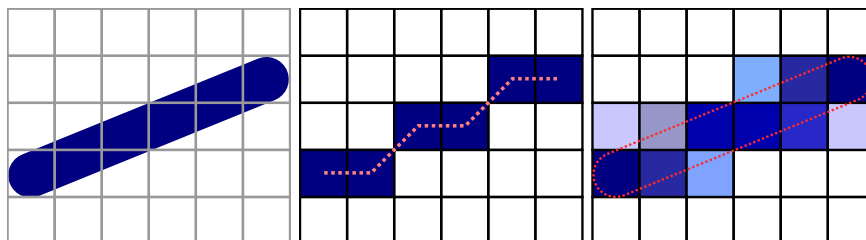


Figure 3.3: Rasterising a Straight Line

a) Before Rasterisation b) Bresenham's Algorithm c) Anti-aliased Line (Idealised)

²Techniques for anti-aliasing primitives other than straight lines are discussed in some detail in Chapter 4 of "Computer Graphics" [10]

3.2.2 Spline Curves and Béziers

Splines are continuous curves formed from piecewise polynomial segments. A polynomial of n th degree is defined by n constants $\{a_0, a_1, \dots, a_n\}$ and:

$$y(x) = \sum_{k=0}^n a_k x^k \quad (3.2)$$

Cubic and Quadratic Bézier Splines are used to define curved paths in the PostScript[3], PDF[5] and SVG[13] standards which we will discuss in Section 3.3. Cubic Béziers are also used to define vector fonts for rendering text in these standards and the \TeX typesetting language [20, 21]. The usefulness of Bézier curves was realised by Pierre Bézier who used them in the 1960s for the computer aided design of automobile bodies[22].

A Bézier Curve of degree n is defined by n “control points” $\{P_0, \dots, P_n\}$. Points $P(t) = (x(t), y(t))$ along the curve are defined by:

$$P(t) = \sum_{j=0}^n B_j^n(t) P_j \quad (3.3)$$

Where $t \in [0, 1]$ is a control parameter. The polynomials $B_j^n(t)$ are Bernstein Basis Polynomials which are defined as:

$$B_j^n(t) = \binom{n}{j} t^j (1-t)^{n-j} \quad j = 0, 1, \dots, n \quad (3.4)$$

$$\text{Where } \binom{n}{j} = \frac{n!}{j!(n-j)!} \quad (\text{The Binomial Coefficients}) \quad (3.5)$$

From these definitions it should be apparent that in all cases, $P(0) = P_0$ and $P(1) = P_n$. An $n = 1$ Bézier Curve is a straight line.

Algorithms for rendering Bézier’s may simply sample $P(t)$ for sufficiently many values of t — enough so that the spacing between successive points is always less than one pixel distance. Alternately, a smaller number of points may be sampled with the resulting points connected by straight lines using one of the algorithms discussed in Section ??.

De Casteljaou’s algorithm of 1959 is often used for approximating Béziers[10, 20]. This algorithm subdivides the original n control points $\{P_0, \dots, P_n\}$ into $2n$ points $\{Q_0, \dots, Q_n\}$ and $\{R_0, \dots, R_n\}$; when iterated, the produced points will converge to $P(t)$. As a tensor equation this subdivision can be expressed as:

$$Q_i = \binom{\binom{n}{j}}{2^j} P_i \text{ and } R_i = \binom{\binom{n-j}{n-k}}{2^{n-j}} P_i \quad (3.6)$$

In much of the literature it is taken as trivial that it is only necessary to specify the control points of a Bézier in order to be able to render it at any level of detail[20, 10]. Recently, Goldman presented an argument that Bézier’s could be considered as fractal in nature, because the De

Casteljau algorithm may be modified to be expressed the polynomial $P(t)$ as the result of iterated function system[23]. If this argument is correct, any primitive that can be described solely in terms of Bézier Curves may also be considered as fractal in nature. Ideally all these primitives may be rendered at any level of detail or “zoom” desired; however, computation of the pixel locations of the curve will be subject to the precision limits of the numerical representation which is used; we discuss these issues in Section ??.

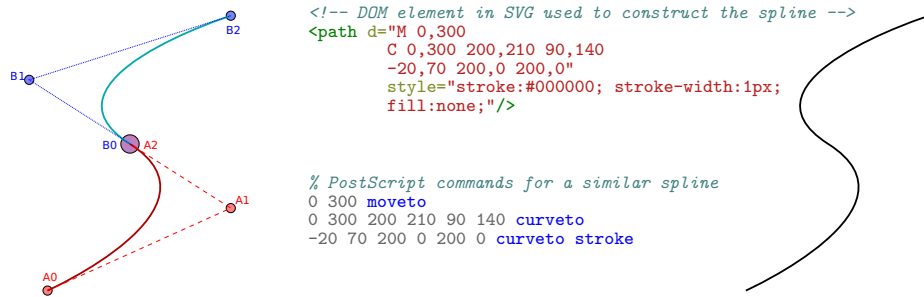


Figure 3.4: Constructing a Spline from two cubic Béziers

(a) Showing the Control Points (b) Representations in SVG and PostScript (c) Rendered Spline

3.2.3 Font Glyphs



Figure 3.5: a) Vector glyph for the letter Z b) Screenshot showing Bézier control points in Inkscape

The term “font” refers to a set of images used to represent text on a graphical display. In 1983, Donald Knuth published “The METAFONT Book” which described a vector approach to specifying fonts and a program for creating these fonts[20]. Previously, only rasterised font images (glyphs) were popular; as can be seen from the zooming in Figure 3.2 this can be problematic given the prevalence of textual information at different scales and on different resolution displays.

Knuth used Bézier Cubic Splines to define “pleasing” curves in METAFONT, and this approach is still used in modern vector fonts. Since the paths used to render an individual glyph are used far more commonly than general curves, document formats do not require such curves to be specified in situ, but allow for a choice between a number of internal fonts or externally specified fonts. In the case of Knuth’s typesetting language \TeX , fonts were intended to be created using METAFONT[?]. Figure ?? shows a \mathcal{Z} (Z) in Ralph Smith’s Formal Script font as produced by \LaTeX with the start and end points of each Cubic Bézier identified.

3.2.4 Compositing

Colour raster displays are based on an additive red-green-blue (r, g, b) colour representation which matches the human eye's response to light[10]. In 1984, Porter and Duff introduced a fourth colour channel for rasterised images called the "alpha" channel, analogous to the transparency of a pixel[24]. In compositing models, elements can be rendered separately, with the four colour channels of successively drawn elements being combined according to one of several possible operations.

In the "painter's model" as described by the SVG standard the "over" operation is used when rendering one primitive over another[13]. Given an existing pixel P_1 with colour values (r_1, g_1, b_1, a_1) and a pixel P_2 with colours (r_2, g_2, b_2, a_2) to be painted over P_1 , the resultant pixel P_T has colours given by:

$$a_T = 1 - (1 - a_1)(1 - a_2) \quad (3.7)$$

$$r_T = (1 - a_2)r_1 + r_2 \quad (\text{similar for } g_T \text{ and } b_T) \quad (3.8)$$

It should be apparent that alpha values of 1 correspond to an opaque pixel; that is, when $a_2 = 1$ the resultant pixel P_T is the same as P_2 . When the final pixel is actually drawn on an rgb display, the (r, g, b) components are $(r_T/a_T, g_T/a_T, b_T/a_T)$.

The PostScript and PDF standards, as well as the OpenGL API also use a painter's model for compositing. However, PostScript does not include an alpha channel, so $P_T = P_2$ always[3]. Figure 3.7 illustrates the painter's model for partially transparent shapes as they would appear in both the SVG and PDF models.

3.2.5 Rasterisation on the CPU and GPU

Traditionally, vector images have been rasterized by the CPU before being sent to a specialised Graphics Processing Unit (GPU) for drawing[10]. Rasterisation of simple primitives such as lines and triangles have been supported directly by GPUs for some time through the OpenGL standard[25]. However complex shapes (including those based on Bézier curves such as font glyphs) must either be rasterised entirely by the CPU or decomposed into simpler primitives that the GPU itself can directly rasterise. There is a significant body of research devoted to improving the performance of rendering such primitives using the latter approach, mostly based around the OpenGL API[26, 27, 28, 29, 30, 31]. Recently Mark Kilgard of the NVIDIA Corporation described an extension to OpenGL for NVIDIA GPUs capable of drawing and shading vector paths[32, 33]. From this development it seems that rasterization of vector graphics may eventually become possible upon the GPU.openglspec

It is not entirely clear how well supported the IEEE-754 standard for floating point computation (which we will discuss in Section ??) is amongst GPUs. Although the OpenGL API does use IEEE-754 number representations, research by Hillesland and Lastra in 2004 suggested that many GPUs were not internally compliant with the standard[34]. Arbitrary precision arithmetic, whilst provided by many libraries for CPU based calculations, is virtually unheard of in the context of GPU rendering.

3.3 Document Representations

The representation of information, particularly for scientific purposes, has changed dramatically over the last few decades. For example, Brassel’s 1979 paper referenced earlier[15] has been produced on a mechanical type writer. Although the paper discusses an algorithm for shading on computer displays, the figures illustrating this algorithm have not been generated by a computer, but drawn by Brassel’s assistant. In contrast, modern papers such as Barnes et. al’s 2013 paper on embedding 3d images in PDF documents[7] can themselves be an interactive proof of concept.

Haye’s 2012 article “Pixels or Perish” discusses the recent history and current state of the art in documents for scientific publications[6]. Hayes argued that there are currently two different approaches to representing a document: As a sequence of static sheets of paper (Programmed Documents) or as a dynamic and interactive way to convey information, using the Document Object Model. We will now explore these two approaches and the extent to which they overlap.

3.3.1 Programmed Documents

PostScript

Adobe’s PostScript Language Reference Manual defines a turing complete language for producing graphics output on an abstract “output device”[3]. A PostScript document is treated as a procedural program; an interpreter executes instructions in the order they are written by the programmer. Each symbol is pushed onto a stack as it is read. Special symbols called “operators” can act upon this stack and/or the output device. An internal “graphics state” stack can be constructed to store styling information (such as colour, line thickness, the current cursor position). It is possible for the language to define new operators. Figure 3.6 shows a vector image and one possible way to express this image in PostScript. PostScript was and is still widely used in printing of documents onto paper; many printers execute postscript directly, and newer formats including PDFs must still be converted into PostScript by printer drivers[5, 4].

There are some limitations in PostScript’s model. As mentioned in Section??, since PostScript predates Porter and Duff Compositing, there is no concept of transparency. In fact, using tools to convert between the SVG image in Figure 3.7 and PostScript will simply rasterise the image and embed the rastered image in PostScript³

Another limitation of PostScript is that the model of a document as a static page, convenient for printers which literally produce static pages, is unable to include interactive or dynamic elements. Dynamic PostScript attempted to fix this problem, but “never caught on”[6].

³For Figure 3.7 converted using the Inkscape SVG editor: <http://szmoore.net/ipdf/figures/shape-svg-converted-to.ps>

```

%!PS-Adobe-3.0 EPSF-3.0
%%BoundingBox: 0 -1 85 150
% These lines are comments to aid in human understanding
% Define an operator to produce a rectangular path
/re { exch dup neg 3 1 roll 5 3 roll moveto 0 rlineto
      0 exch rlineto 0 rlineto closepath } bind def
% Operator to produce the path for the first rectangle
/re1 { 24.613 133.001 24 -120 re } bind def
% Operator to produce the path for the second rectangle
/re2 { 10.215 45.001 48 -16 re } bind def
% Operator which will produce the curved path
/curve { 46.215 1.001 moveto
         46.215 1.001 91.812 11.399 71.812 35.399 curveto
         51.812 59.399 29.414 33.802 51.812 59.399 curveto
         74.215 85.001 93.414 45.802 74.215 85.001 curveto
         55.016 125.001 61.414 49.802 46.215 75.399 curveto
         31.016 101.001 56.613 126.598 56.613 126.598 curveto
         56.613 126.598 88.613 166.598 56.613 137.802 curveto
         24.613 109.001 -18.586 83.399 9.414 50.598 curveto
         37.414 17.802 45.414 1.001 45.414 1.001 curveto
      closepath } bind def
% Set stroke properties
0.8 setlinewidth 0 setlinecap 0 setlinejoin []
0.0 setdash 4 setmiterlimit
% Draw the straight line
0 setgray 0.613 149.001 moveto 83.812 0.2 lineto fill
% Fill and outline the first rectangular path
0 0 1 setrgbcolor re1 fill 0 setgray re1 stroke
% Fill and outline the curved shape
1 0 0 setrgbcolor curve fill 0 setgray curve stroke
% Fill and outline the second rectangle
0 1 0 setrgbcolor re2 fill 0 setgray re2 stroke
showpage

```

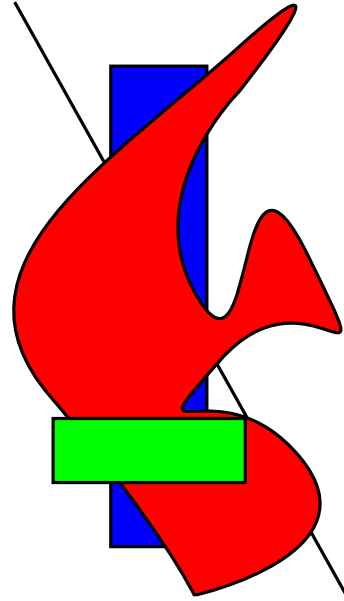


Figure 3.6: Vector image and a possible PostScript representation

$\text{T}_{\text{E}}\text{X}$, METAFONT and $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$

Knuth’s “The $\text{T}_{\text{E}}\text{X}$ book” [21] and “The METAFONT book” [20] define two complementary programming languages for typesetting documents. Whereas PostScript may be considered an interpreted language, in that it can be produced in a human readable form which is also readable by an interpreter, $\text{T}_{\text{E}}\text{X}$ is a compiled language; a program parses human readable $\text{T}_{\text{E}}\text{X}$ to produce a machine readable format DVI (“DeVice Independent”). A DVI interpreter might be thought of as a virtual “Display Processor” for drawing vector graphics directly (as defined in the earlier editions of “Computer Graphics” [10]).

DVI itself is not a widely used format for sharing documents. However, a system based upon $\text{T}_{\text{E}}\text{X}$ called $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$ which includes libraries for advanced typesetting and programs that ultimately produce PDF output is particularly popular for producing technical reports and papers⁴ — this report itself has been produced using the CTAN $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$ packages⁵.

⁴The site (<http://tex.stackexchange.com>) (accessed 2014-05-22) is devoted to $\text{T}_{\text{E}}\text{X}$ and $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$

⁵The complete $\text{T}_{\text{E}}\text{X}$ source code to produce this document can be found at (<http://szmoore.net/ipdf/sam/>)

3.3.2 Document Object Model

The Document Object Model (DOM) represents a document as a tree like data structure with the document as a root node. The elements of the document are represented as children of either this root node or of a parent element. In addition, elements may have attributes which contain information about that particular element.

The World Wide Web Consortium (W3C) is an organisation devoted to the development of standards for structuring and rendering web pages based on industry needs. The DOM is used in and described by several W3C recommendations including XML[35], HTML[36] and SVG[13]. XML is a general language which is intended for representing any tree-like structure using the DOM, whilst HTML and SVG are specifically intended for representing visual information to humans. These languages make use of Cascading Style Sheets (CSS)[37] for specifying the appearance of elements.

Version 5 of the Hypertext Markup Language (HTML5) is currently a candidate recommendation which aims to standardise the state of the art in technologies relating to web based documents. In HTML5 it is possible to achieve almost any level of control over both the structure and rendering of a document desirable. In particular, the language Javascript (based upon ECMAScript [38]) can be used to dynamically alter a HTML5 document in response to user input or other events, including communication with HTTP servers.

The Scalable Vector Graphics (SVG) recommendation defines a language for representing vector images using the DOM. This is intended not only for stand alone images, but also for inclusion within HTML documents. In the SVG standard, each graphics primitive is an element in the DOM, whilst attributes of the element give information about how the primitive is to be drawn, such as path coordinates, line thickness, mitre styles and fill colours. Figure 3.7 shows an example of an SVG image as rendered (left) and represented as text. The textual representation is syntactically a subset of XML and is similar to HTML.⁶ Here we have used `<rect>` elements to position rectangles and `<path>` elements to define a straight line and a filled region bounded by a cubic bezier spline; note that the points and type of curves are defined as a data attribute.

Javascript and the DOM

Using Javascript, an element in the DOM can be selected by its type, class, name, or unique identifier, each of which may be specified as an attribute in the original DOM. Once an element is selected Javascript can be used to modify its attributes, add children below it in the DOM, or remove it from the DOM entirely.

For example, the following Javascript acting on the DOM described in Figure 3.7 will change the fill colour of the curved region.

```
var node = document.getElementById("curvedshape"); // Find the node by its unique id
node.style.fill = "#000000"; // Change the 'style' attribute and set the CSS fill colour
```

To illustrate the power of this technique we have produced an example to generate an SVG interactively using HTML. The example generates successive iterations of a particular type of

⁶The details of distinctions between these languages are beyond the scope of this report.

fractal curve first described by Koch[39] in 1904 and a popular example in modern literature [?]. Unfortunately as it is currently possible to directly include W3C HTML in a PDF, we are only able to provide some examples of the output as static images in Figure 3.8. The W3C has produced a primer describing the use of HTML5 and Javascript to produce interactive SVG's[40], and the HTML5 and SVG standards themselves include several examples.

In HTML5, Javascript is not restricted to merely manipulating the DOM to alter the appearance of a document. The `<canvas>` tag and associated API provide a means to directly set the values of pixels on a display. This sort of low level API is intended for performance intensive graphical applications such as web based games⁷. As Hayes points out, there is some similarity between the `<canvas>` API and the PostScript interpreted approach to drawing[?].

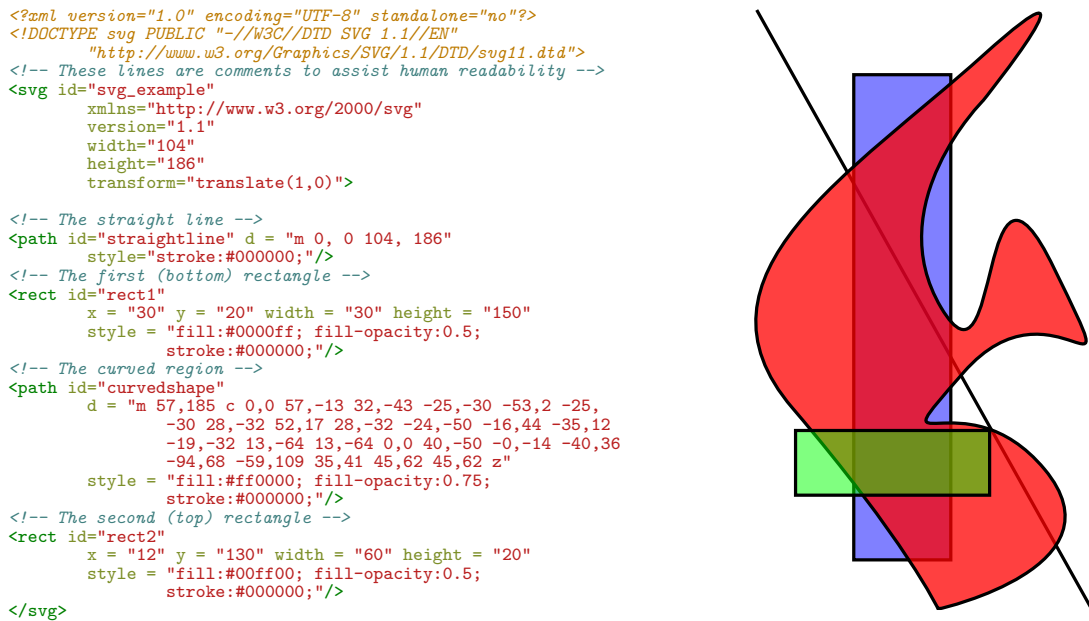


Figure 3.7: Vector image and a possible SVG representation

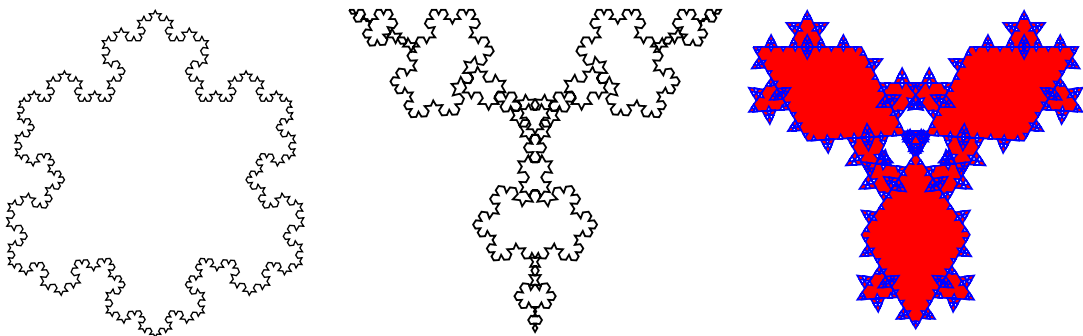


Figure 3.8: Koch "snowflakes" generated using Javascript to modify an SVG DOM. The interactive HTML5 document can be found at <http://szmoore.net/ipdf/sam/figures/koch.html>

⁷For an example by the author including both the canvas2d and experimental WebGL APIs see <http://rabbitgame.net>

3.3.3 The Portable Document Format

Adobe’s Portable Document Format (PDF) is currently used almost universally for sharing documents; the ability to export or print to PDF can be found in most graphical document editors and even some plain text editors[?].

Hayes describes PDF as “... essentially ‘flattened’ PostScript; its whats left when you remove all the procedures and loops in a program, replacing them with sequences of simple drawing commands.”[6]. Consultation of the PDF 1.7 standard shows that this statement does not a give a complete picture — despite being based on the Adobe PostScript model of a document as a series of “pages” to be printed by executing sequential instructions, from version 1.5 the PDF standard began to borrow some ideas from the Document Object Model. For example, interactive elements such as forms may be included as XHTML objects and styled using CSS. “Actions” are objects used to modify the data structure dynamically. In particular, it is possible to include Javascript Actions. Adobe defines the API for Javascript actions seperately to the PDF standard[41]. There is some evidence in the literature of attempts to exploit these features, with mixed success[7, 6].

3.4 Precision required by Document Formats

We briefly summarise the requirements of the standards discussed so far in regards to the precision of mathematical operations.

3.4.1 PostScript

The PostScript reference describes a “Real” object for representing coordinates and values as follows: “Real objects approximate mathematical real numbers within a much larger interval, but with limited precision; they are implemented as floating-point numbers”[3]. There is no reference to the precision of mathematical operations, but the implementation limits suggest a range of $\pm 10^{38}$ “approximate” and the smallest values not rounded to zero are $\pm 10^{-38}$ “approximate”.

3.4.2 PDF

PDF defines “Real” objects in a similar way to PostScript, but suggests a range of $\pm 3.403 \times 10^{38}$ and smallest non-zero values of $\pm 1.175 \times 10^{38}$ [5]. A note in the PDF 1.7 manual mentions that Acrobat 6 now uses IEEE-754 single precision floats, but “previous versions used 32-bit fixed point numbers” and “... Acrobat 6 still converts floating-point numbers to fixed point for some components”.

3.4.3 T_EXand METAFONT

In “The METAFONT book” Knuth appears to describe coordinates as fixed point numbers: “The computer works internally with coordinates that are integer multiples of $\frac{1}{65536} \approx 0.00002$ of the width of a pixel”[20]. There is no mention of precision in “The T_EXbook”. In 2007 Beebe claimed this was due to the lack of standardised floating point arithmetic on computers at the time; a problem that the IEEE-754 was designed to solve[42]. Beebe also suggests that T_EXand METAFONT could now be modified to use IEEE-754 arithmetic.

3.4.4 SVG

The SVG standard specifies a minimum precision equivalent to that of “single precision floats” (presumably referring to IEEE-754) with a range of $-3.4\text{e}+38\text{F}$ to $+3.4\text{e}+38\text{F}$, and states “It is recommended that higher precision floating point storage and computation be performed on operations such as coordinate system transformations to provide the best possible precision and to prevent round-off errors.” [13] An SVG Viewer may refer to itself as “High Quality” if it uses a minimum of “double precision” floats.

3.4.5 Javascript

Although Javascript is not a stand alone document format, we include it here due to its relation with the SVG, HTML5 and PDF standards.

According to the EMCA-262 standard, “The Number type has exactly 18437736874454810627 (that is, $2^{64} - 5 \cdot 3 + 3$) values, representing the double-precision 64-bit format IEEE 754 values as specified in the IEEE Standard for Binary Floating-Point Arithmetic” [38]. The Number type does differ slightly from IEEE-754 in that there is only a single valid representation of “Not a Number” (NaN). The EMCA-262 does not define an “integer” representation.

3.5 Real Number Representations

We have found that PostScript, PDF, and SVG document standards all restrict themselves to IEEE floating point number representations of coordinates. This is unsurprising as the IEEE standard has been successfully adopted almost universally by hardware manufactures and programming language standards since the early 1990s. In the traditional view of a document as a static, finite sheet of paper, there is little motivation for enhanced precision.

In this section we will begin by investigating floating point numbers as defined in the IEEE standard and their limitations. We will then consider alternative number representations including fixed point numbers, arbitrary precision floats, rational numbers, p-adic numbers and symbolic representations. **Oh god I am still writing about IEEE floats let alone all those other things**

Reorder to start with Integers, General Floats, then go to IEEE, then other things

3.5.1 IEEE Floating Points

Although the concept of a floating point representation has been attributed to various early computer scientists including Charles Babbage[?], it is widely accepted that William Kahan and his colleagues working on the IEEE-754 standard in the 1980s are the “fathers of modern floating point computation” [?]. The original IEEE-754 standard specified the encoding, number of bits, rounding methods, and maximum acceptable errors for the basic floating point operations for base $B = 2$ floats. It also specifies “exceptions” — mechanisms by which a program can detect an error such as division by zero⁸. We will restrict ourselves to considering $B = 2$, since it was found that

⁸Kahan has argued that exceptions in IEEE-754 are conceptually different to Exceptions as defined in several programming languages including C++ and Java. An IEEE exception is intended to prevent an error by its detection, whilst an exception in those languages is used to indicate an error has already occurred[]

this base in general gives the smallest rounding errors[12], although it is worth noting that different choices of base had been used historically[?], and the IEEE-854 and later the revised IEEE-754 standard specify a decimal representation $B = 10$ intended for use in financial applications.

3.5.2 Floating Point Definition

A floating point number x is commonly represented by a tuple of integers (s, e, m) in base B as[12, 43]:

$$x = (-1)^s \times m \times B^e$$

Where s is the sign and may be zero or one, m is commonly called the “mantissa” and e is the exponent. The name “floating point” refers to the equivalence of the $\times B^e$ operation to a shifting of a decimal point along the mantissa. This contrasts with a “fixed point” representation where x is the sum of two fixed size numbers representing the integer and fractional part.

In the IEEE-754 standard, for a base of $B = 2$, numbers are encoded in continuous memory by a fixed number of bits, with s occupying 1 bit, followed by e and m occupying a number of bits specified by the precision; 5 and 10 for a binary16 or “half precision” float, 8 and 23 for a binary32 or “single precision” and 15 and 52 for a binary64 or “double precision” float[12, 43].

3.5.3 Precision and Rounding

Real values which cannot be represented exactly in a floating point representation must be rounded. The results of a floating point operation will in general be such values and thus there is a rounding error possible in any floating point operation. Goldberg’s assertively titled 1991 paper “What Every Computer Scientist Needs to Know about Floating Point Arithmetic” provides a comprehensive overview of issues in floating point arithmetic and relates these to the 1984 version of the IEEE-754 standard[8]. More recently, after the release of the revised IEEE-754 standard in 2008, a textbook “Handbook Of Floating Point Arithmetic” has been published which provides a thorough review of literature relating to floating point arithmetic in both software and hardware[12].

Figure ?? shows the positive real numbers which can be represented exactly by an 8 bit base $B = 2$ floating point number; and illustrates that a set of fixed precision floating point numbers forms a discrete approximation of the reals. There are only $2^7 = 256$ numbers in this set, which means it is easier to see some of the properties of floats that would be unclear using one of the IEEE-754 encodings. The first set of points corresponds to using 2 and 5 bits to encode e and m whilst the second set of points corresponds to a 3 and 4 bit encoding. This allows us to see the trade off between the precision and range of real values represented.

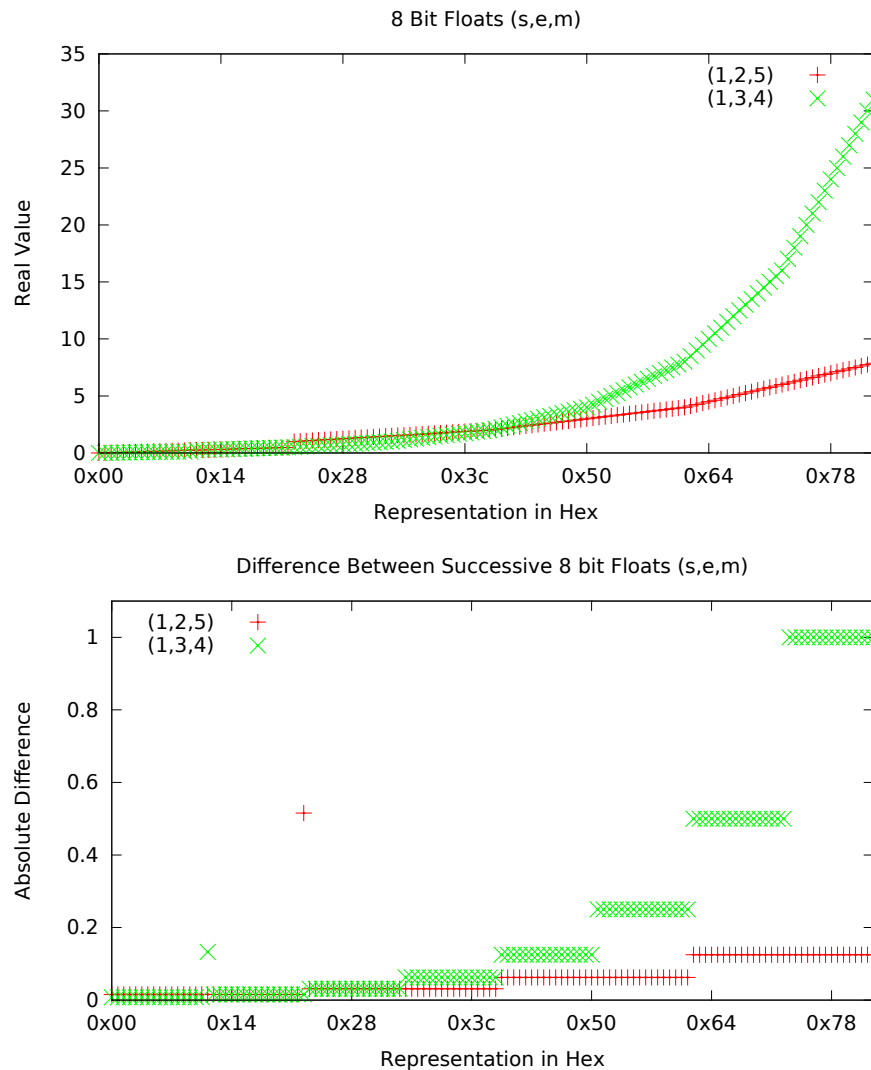


Figure 3.9: The mapping of 8 bit floats to reals

3.5.4 Floating Point Operations

Floating point operations can in principle be performed using integer operations, but specialised Floating Point Units (FPUs) are an almost universal component of modern processors[?]. The improvement of FPUs remains highly active in several areas including: efficiency[44]; accuracy of operations[45]; and even the adaptation of algorithms originally used in software for reducing the overall error of a sequence of operations[46]. In this section we will consider the algorithms for floating point operations without focusing on the hardware implementation of these algorithms.

3.5.5 Some sort of Example(s) or Floating Point Mayhem

Eg: $f(x) = |x|$ calculated from sqrt and squaring

Eg: Massive rounding errors from calculatapi

Eg: Actual graphics things :S

3.5.6 Limitations Imposed By Graphics APIs and/or GPUs

Traditionally algorithms for drawing vector graphics are performed on the CPU; the image is rasterised and then sent to the GPU for rendering[]. Recently there has been a great deal of literature relating to implementation of algorithms such as Bézier curve rendering[] or shading[] on the GPU. As it seems the trend is to move towards GPU

6. Here are ways GPU might not be IEEE-754 — This goes *somewhere* in here but not sure yet

- Internal representations are GPU dependent and may not match IEEE[34]
- OpenGL standards specify: binary16, binary32, binary64
- OpenVG aims to become a standard API for SVG viewers but the API only uses binary32 and hardware implementations may use less than this internally[47]
- It seems that IEEE has not been entirely successful; although all modern CPUs and GPUs are able to read and write IEEE floating point types, many do not conform to the IEEE standard in how they represent floating point numbers internally.
- **Blog post alert** (<https://dolphin-emu.org/blog/2014/03/15/pixel-processing-problems/>)

7. Sod all that, let's just use an arbitrary precision library (AND THUS WE FINALLY GET TO THE POINT)

3.5.7 Arbitrary Precision Floating Point Numbers

An arbitrary precision floating point number simply uses extra bits to store extra precision. Do it all using MFPR[48], she'll be right.

8. Here is a brilliant summary of sections 7- above

Dear reader, thankyou for your persistence in reading this mangled excuse for a Literature Review. Hopefully we have brought together the radically different areas of interest together in some sort of coherent fashion. In the next chapter we will talk about how we have succeeded in rendering a rectangle. It will be fun. I am looking forward to it.

Oh dear this is not going well

4. Progress Report

This chapter outlines the current state of our research in relation to the aims outlined in Chapter 1.

4.1 Literature Review

We have examined a range of literature that can be broadly classed into three different areas:

1. Rendering Vector Graphics
2. Representations of Vector Documents
3. Floating Point number representations

In summary, we have found:

- Rasterisation of Vector Graphics is non-trivial but well understood
- Traditionally rasterisation has been performed on the CPU and rendering on a dedicated GPU; current interest is in techniques for utilising the GPU directly to rasterise vector graphics.
- The popular standards for document formats including PostScript, PDF, HTML, SVG require IEEE-754 binary32 precision
- Fixed precision floating point numbers make a trade off between precision and range
- IEEE-754 is widely used although there are instances of languages or processors which do not conform exactly to the standard
- GPUs in particular may not conform to IEEE-754, trading some accuracy of operations for performance

4.2 Development of Testbed Software

We have produced a basic Document Viewer capable of rendering simple primitives under translation and scaling. OpenGL 3.1 is used to interface with graphics hardware. This software has the following features:

1. A type name `Real` is used in place of the standard floating point types `float`, `double` or `long double`. This type name can be redefined to refer to one of the standard types or a custom real number representation, allowing us to easily recompile and test our software for different representations.
2. Screenshots can be overlaid on top of each other to get a pixel comparison of the graphical output of different versions of the program
3. Test documents can be loaded and saved so that we can compare different versions of the program on identical inputs

4. Transformations can be performed on either the GPU or CPU
5. Performance of rendering can be measured

We have found the performance of coordinate transforms on the GPU to be far superior to the CPU. However, at large enough scales it becomes apparent that the GPU is performing operations at a lower precision than the CPU. See Figure ??.

4.3 Floating Point Precision

Algorithms for floating point arithmetic may be implemented in software (CPU) or on dedicated hardware (FPU). We have made progress towards both approaches.

An open source Virtual FPU implemented in the VHDL language has been successfully compiled and can be substituted into our testbed software in place of native arithmetic running on the CPU. The timing diagram for this FPU throughout the execution of test programs can be extracted. Currently the virtual FPU is restricted to 32 bit floats and the square root operation is unimplemented.

Mainly motivated by producing Figure ?? we have also implemented functions to convert arbitrary real numbers (which may themselves be IEEE-754 floats) to and from a fixed size floating point representation of our choosing. We have not implemented any operations for floating point arithmetic using these representations.

By using the functions to convert real numbers to variable precision floats as an interface for the virtual FPU, we hope to illustrate the limitations of floating point arithmetic more clearly than would be possible using IEEE-754 binary32 as is native to the C and C++ languages.

4.3.1 Prototype Document Formats

Our testbed software is capable of reading primitive attributes from either a binary file or XML plain text file. Our format is closest to the Document Object Model, although there is currently only one generation in the tree as no primitives can contain other elements as of yet.

If time permits, we plan to extend our XML format to cover a subset of the SVG standard. This may allow us to compare the rasterisation of an SVG using our own software and traditional software relying on IEEE-754 floats.

4.4 Version Control and Backup of Work

Git is a distributed version control system widely used in the development of open source software. All resources created for or used by this project have been placed in git repositories on several servers. The repositories are publically accessible at <http://git.ucc.asn.au>, <http://szmoore.net/ipdf> and [david's website probably I guess](#)¹

4.5 Timeline

Deadlines enforced by the faculty of Engineering Computing and Mathematics are italicised. Tasks completed as of the submission of this report are struck through. ².

¹These are all actually on the same filesystem but it sounds impressive anyway

²David Gow is being assessed under the 2014 rules for a BEng (Software) Final Year Project, whilst the author is being assessed under the 2014 rules for a BEng (Mechatronics) Final Year Project; deadlines and requirements as shown in Gow's proposal^[2] may differ

Date	Milestone
1 st May	Testbed Software (basic document format and viewer) completed and approaches for extending to allow infinite precision identified.
? May	Draft Progress Report and Literature Review
26 th May	<u>Progress Report and Literature Review due.</u>
9 th June	Demonstrations of limitations of floating point precision in the Testbed software.
1 st July	At least one implementation of infinite precision for basic primitives (lines, polygons, curves) completed. Other implementations, advanced features, and areas for more detailed research identified.
1 st August	Experiments and comparison of various infinite precision implementations completed.
1 st September	Advanced features implemented and tested, work underway on Final Report.
TBA	<u>Conference Abstract and Presentation due.</u>
10 th October	<u>Draft of Final Report due.</u>
27 th October	<u>Final Report due.</u>

5. Conclusion

This report has provided motivation for considering approaches to achieving an infinite level of zoom in a document.

5.1 Acheived Milestones

5.2 Areas of further work

- Continue looking for relevant literature
- Implement all those tests mentioned in Chapter 1
- **Actually identify the techniques I will use THIS ONE SHOULD BE DONE BEFORE I HAND IN THE LITERATURE REVIEW!**
- Possible Ultimate Goal: Implement (a subset) of SVG and then show an SVG document that we can render but a browser can't
 - This means extending our viewer to be able to read (a subset) SVG
 - Can already read XML, so this shouldn't actually be too bad
 - * Emphasis on **subset**
 - * (I've seen the SVG standard; I'm talking about implementing the 18 pages under "Basic Shapes". The other 818 pages can complain to someone who cares.)
 - Suggestion to David that he probably won't like (or read): Make his octree structure specifiable as an SVG extension

5.3 Witty Conclusion Goes Here

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